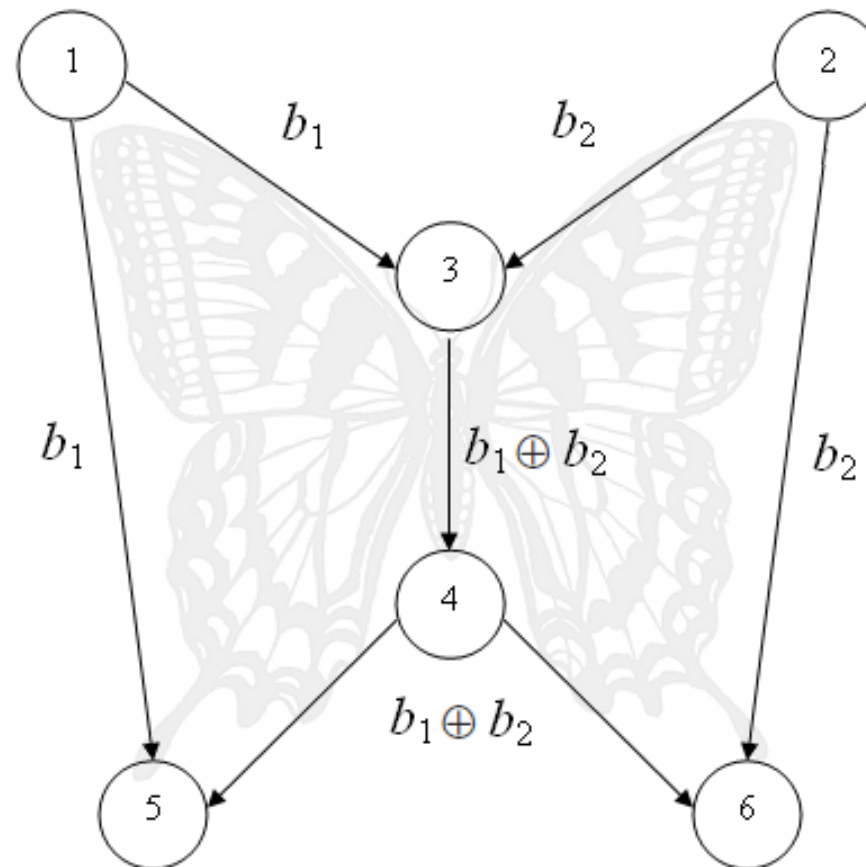


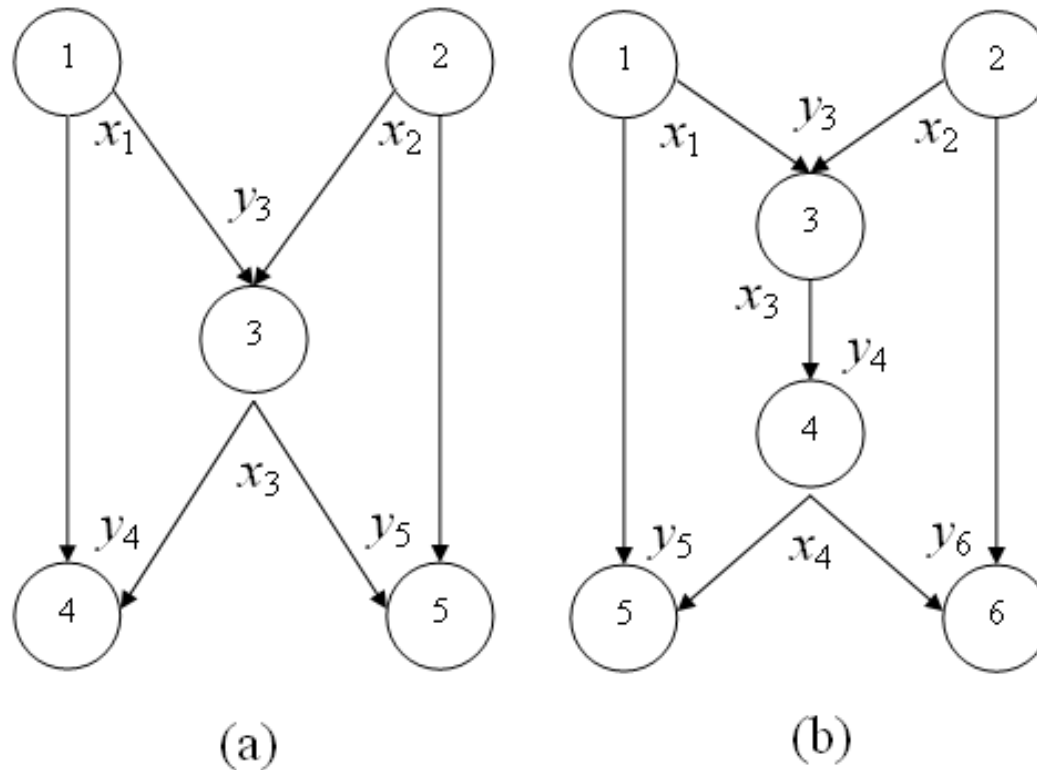
# Butterfly Channel

Liang Chen  
University of Maryland

# The Butterfly Network



# The Butterfly Channels



(a) Type I

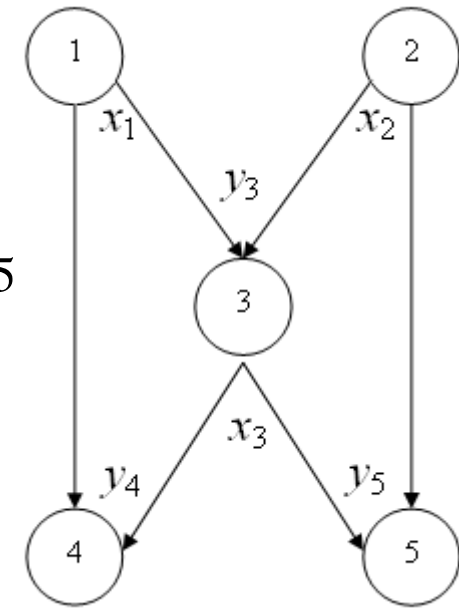
(b) Type II

# System Model of Butterfly Channel

*Definition:* The butterfly channel (Type I) consists of:

- two independent channel input alphabets  $\mathcal{X}_1, \mathcal{X}_2$
- a relay input alphabet  $\mathcal{X}_3$
- a relay output alphabet  $\mathcal{Y}_3$
- two channel output alphabets  $\mathcal{Y}_4, \mathcal{Y}_5$
- a probability transition function

$$p(y_3, y_4, y_5 | x_1, x_2, x_3)$$



# Channel Code for Butterfly Channel

*Definition:* A  $(2^{nR_1}, 2^{nR_2}, n)$  code consists of:

- *Two message sets:*  $\mathcal{W}_k = \{1, 2, \dots, 2^{nR_k}\}, k = 1, 2$
- *Two encoders:* at node  $k$ ,  $\mathcal{W}_k \rightarrow \mathcal{X}_k^n$ , which maps each message  $W_k \in \mathcal{W}_k$  to a codeword  $\mathbf{x}_k \in \mathcal{X}_k^n, k = 1, 2$ .
- *Three decoders:* at node  $k$ ,  $\mathcal{Y}_k^n \rightarrow \mathcal{W}_1 \times \mathcal{W}_2, k = 3, 4, 5$ , which maps a received sequence  $y_k^n$  to a message pair  $(\hat{W}_1, \hat{W}_2)$ .

# Network Coding at Node 3

- Message-by-message Network Coding
- Bit-by-bit Network Coding

# Message-by-message Network Coding

- In block  $j$ , node 3 sends a message  $Z$  to both node 4 and node 5.

$$z_j = f(w_{1,j-1}, w_{2,j-1})$$

$f$ : function of network coding

$Z$ : outcome of network coding

- After computing  $Z$ , node 3 encodes it via

$Z \rightarrow \mathbf{x}_3^n$  and forwards the signal  $\mathbf{x}_3$  ( $n$  symbols) to node 4 and node 5.

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# Message-by-message Network Coding (cont.)

- Given  $w_{1,j-1}$ ,  $z_j = f_1(w_{2,j-1})$ .  
Given  $w_{2,j-1}$ ,  $z_j = f_2(w_{1,j-1})$ .
- If both functions  $f_1$  and  $f_2$  are bijective,  
at node 4, after having known  $w_{1,j-1}$ ,  
$$w_{2,j-1} = f_1^{-1}(z_j),$$
  
at node 5, after having known  $w_{2,j-1}$ ,  
$$w_{1,j-1} = f_2^{-1}(z_j).$$

# Bit-by-bit Network Coding

- Node 3 generates its relayed signal  $\mathbf{x}_3$  bit-by-bit through a set of relay function such that

$$x_{3,i} = g_i(y_{3,1}, y_{3,2}, \dots, y_{3,i-1}), \quad 1 \leq i \leq n$$

- In any block, node 3 sends the message correlated with the messages sent from node 1 and node 2 in the same block.

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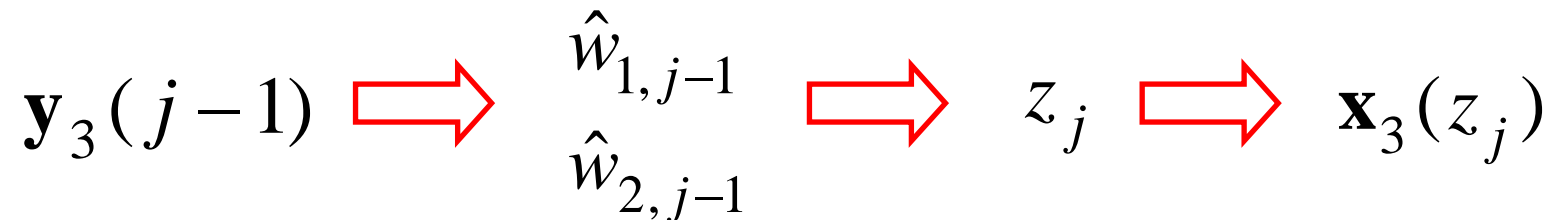
# Comparison of Two Network Coding Schemes

- Message-by-message Network Coding

$$\mathbf{y}_3(j-1) \Rightarrow \begin{matrix} \hat{w}_{1,j-1} \\ \hat{w}_{2,j-1} \end{matrix} \Rightarrow z_j \Rightarrow \mathbf{x}_3(z_j)$$

# Comparison of Two Network Coding Schemes

- Message-by-message Network Coding



- Bit-by-bit Network Coding

No need to decode  $\hat{w}_1$  and  $\hat{w}_2$  at node 3.

Directly generate  $\mathbf{x}_3$  through

$$x_{3,i} = g_i(y_{3,1}, y_{3,2}, \dots, y_{3,i-1}), \quad 1 \leq i \leq n.$$

# Capacity Region with Message-by-message Network Coding

- The capacity of the butterfly channel (Type I) with message-by-message network coding is the closure of the convex hull of all  $(R_1, R_2)$  satisfying

$$R_1 < \min\{I(X_1; Y_3 | X_2, X_3), I(X_1; Y_4 | X_3), I(X_3; Y_5 | X_2)\}$$

$$R_2 < \min\{I(X_2; Y_3 | X_1, X_3), I(X_2; Y_5 | X_3), I(X_3; Y_4 | X_1)\}$$

$$R_1 + R_2 < \min\{I(X_1, X_2; Y_3 | X_3), I(X_1, X_3; Y_4), I(X_2, X_3; Y_5)\}$$

for some product distribution  $p(x_1)p(x_2)p(x_3)$ .

# Random Codebook Generation


- 1. Generate  $2^{nR_1}$  i.i.d.  $\mathbf{x}_1$  each with  $\prod_{i=1}^n p(x_{1,i})$ .  
Index each  $\mathbf{x}_1(w_1), w_1 \in [1, 2^{nR_1}]$ .
- 2. Generate  $2^{nR_2}$  i.i.d.  $\mathbf{x}_2$  each with  $\prod_{i=1}^n p(x_{2,i})$ .  
Index each  $\mathbf{x}_2(w_2), w_2 \in [1, 2^{nR_2}]$ .
- 3. Generate  $2^{nR_z}$  i.i.d.  $\mathbf{x}_3$  each with  $\prod_{i=1}^n p(x_{3,i})$ .  
Index each  $\mathbf{x}_3(z), z \in [1, 2^{nR_z}]$ .

# Encoding

- In block  $j$ ,  
node 1:  $w_{1,j}$


# Encoding


- In block  $j$ ,

node 1:  $w_{1,j}$    $\mathbf{x}_1(w_{1,j})$

# Encoding


- In block  $j$ ,


node 1:  $w_{1,j}$    $\mathbf{x}_1(w_{1,j})$

node 2:  $w_{2,j}$    $\mathbf{x}_2(w_{2,j})$

# Encoding

- In block  $j$ ,


node 1:  $w_{1,j}$    $\mathbf{x}_1(w_{1,j})$


node 2:  $w_{2,j}$    $\mathbf{x}_2(w_{2,j})$

- At the beginning of block  $j$ , node 3:

# Encoding

- In block  $j$ ,

node 1:  $w_{1,j}$    $\mathbf{x}_1(w_{1,j})$

node 2:  $w_{2,j}$    $\mathbf{x}_2(w_{2,j})$

- At the beginning of block  $j$ , node 3:

$\hat{w}_{1,j-1}$

$\hat{w}_{2,j-1}$

# Encoding

- In block  $j$ ,

node 1:  $w_{1,j}$   $\Rightarrow$   $\mathbf{x}_1(w_{1,j})$

node 2:  $w_{2,j}$   $\Rightarrow$   $\mathbf{x}_2(w_{2,j})$

- At the beginning of block  $j$ , node 3:

$$\begin{matrix} \hat{w}_{1,j-1} \\ \hat{w}_{2,j-1} \end{matrix} \Rightarrow z_j = f(\hat{w}_{1,j-1}, \hat{w}_{2,j-1})$$

# Encoding

- In block  $j$ ,

node 1:  $w_{1,j}$   $\Rightarrow$   $\mathbf{x}_1(w_{1,j})$

node 2:  $w_{2,j}$   $\Rightarrow$   $\mathbf{x}_2(w_{2,j})$

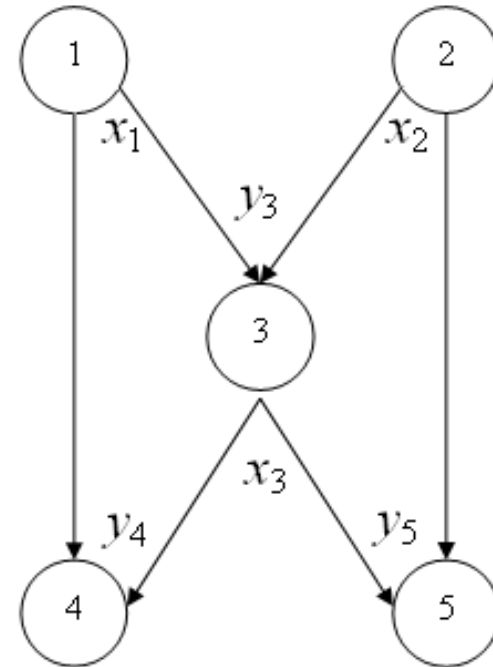
- At the beginning of block  $j$ , node 3:

$\hat{w}_{1,j-1}$   
 $\hat{w}_{2,j-1}$   $\Rightarrow z_j = f(\hat{w}_{1,j-1}, \hat{w}_{2,j-1}) \Rightarrow \mathbf{x}_3(z_j)$

# Decoding

- Node 3:

$$\mathbf{y}_3(j) \Rightarrow \begin{matrix} \hat{w}_{1,j} \\ \hat{w}_{2,j} \end{matrix}$$



# Decoding

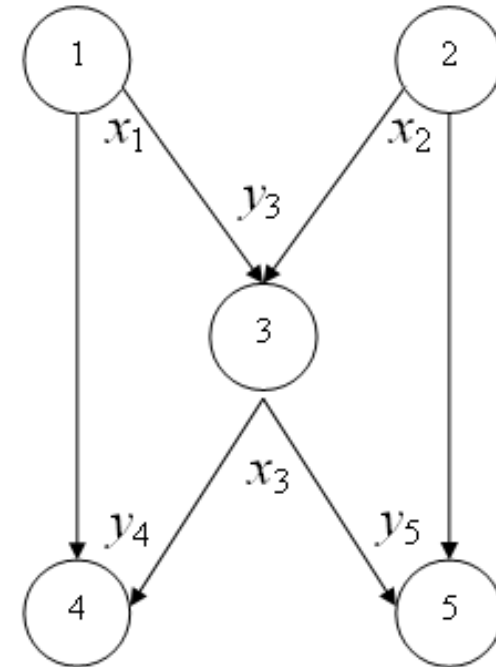
- Node 3:

$$\mathbf{y}_3(j) \quad \Rightarrow \quad \begin{matrix} \hat{w}_{1,j} \\ \hat{w}_{2,j} \end{matrix}$$

$$R_1 < I(X_1; Y_3 | X_2, X_3)$$

$$R_2 < I(X_2; Y_3 | X_1, X_3)$$

$$R_1 + R_2 < I(X_1, X_2; Y_3 | X_3)$$



# Decoding (cont.)

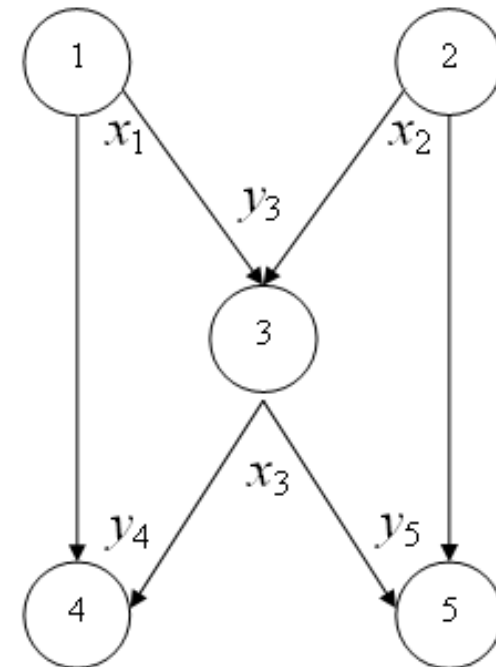
- Node 4:

$$\mathbf{y}_4(j) \xrightarrow{\quad} \begin{matrix} \hat{w}_{1,j} \\ \hat{z}_j \end{matrix}$$

$$R_1 < I(X_1; Y_4 | X_3)$$

$$R_z < I(X_3; Y_4 | X_1)$$

$$R_1 + R_z < I(X_1, X_3; Y_4)$$



# Decoding (cont.)

- Having known  $w_{1,j-1}$ , for each  $\hat{z}_j$ , node 4 can derive a  $\hat{w}_{2,j-1}$  through

$$\hat{w}_{2,j-1} = f_1^{-1}(\hat{z}_j).$$

$$R_1 < I(X_1; Y_4 | X_3)$$

$$R_z < I(X_3; Y_4 | X_1)$$

$$R_1 + R_z < I(X_1, X_3; Y_4)$$



$$R_1 < I(X_1; Y_4 | X_3)$$

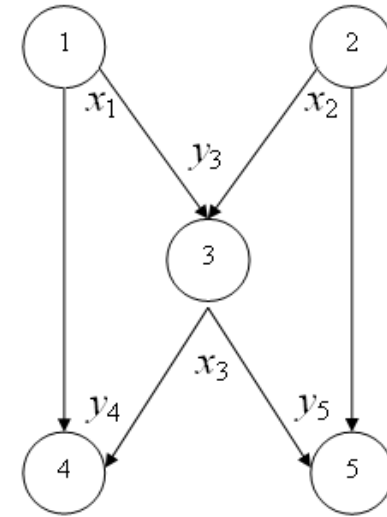
$$R_2 < I(X_3; Y_4 | X_1)$$

$$R_1 + R_2 < I(X_1, X_3; Y_4)$$

# Decoding (cont.)

- Node 5:

$$\mathbf{y}_5(j) \xrightarrow{\quad} \begin{matrix} \hat{w}_{2,j} \\ \hat{z}_j \end{matrix} \xrightarrow{\quad} \hat{w}_{1,j-1}$$



$$R_1 < I(X_1; Y_4 | X_3)$$

$$R_1 < I(X_3; Y_5 | X_2)$$

$$R_z < I(X_3; Y_4 | X_1) \xrightarrow{\quad}$$

$$R_2 < I(X_2; Y_5 | X_3)$$

$$R_1 + R_z < I(X_1, X_3; Y_4)$$

$$R_1 + R_2 < I(X_2, X_3; Y_5)$$

# Achievable Rate Region with Message-by-message Network Coding

- The rate pair  $(R_1, R_2)$  satisfying

$$R_1 < \min\{I(X_1; Y_3 | X_2, X_3), I(X_1; Y_4 | X_3), I(X_3; Y_5 | X_2)\}$$

$$R_2 < \min\{I(X_2; Y_3 | X_1, X_3), I(X_2; Y_5 | X_3), I(X_3; Y_4 | X_1)\}$$

$$R_1 + R_2 < \min\{I(X_1, X_2; Y_3 | X_3), I(X_1, X_3; Y_4), I(X_2, X_3; Y_5)\}$$

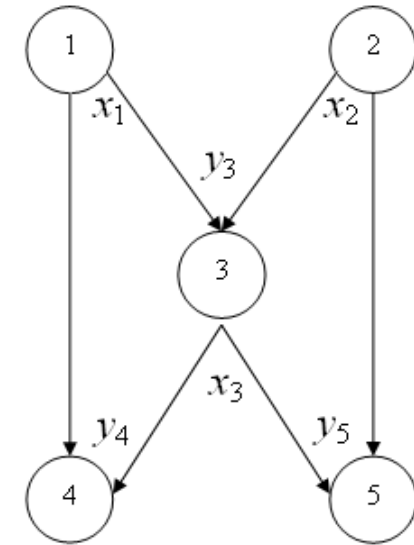
are achievable for any distribution

$$p(x_1)p(x_2)p(x_3).$$

# Bit-by-bit Network Coding

- $z_j$  is not independent of  $w_{1,j}$  and  $w_{2,j}$ .
- The codebook of  $\mathbf{x}_3$  is generated through distribution

$$\prod_{i=1}^n p(x_{3,i} | x_{1,i}(w_1), x_{2,i}(w_2)).$$



$$R_1 < I(X_1; Y_4 | X_3)$$

$$R_2 < I(X_2; Y_5 | X_3)$$

$$R_z < I(X_3; Y_4 | X_1)$$

$$R_z < I(X_3; Y_5 | X_2)$$

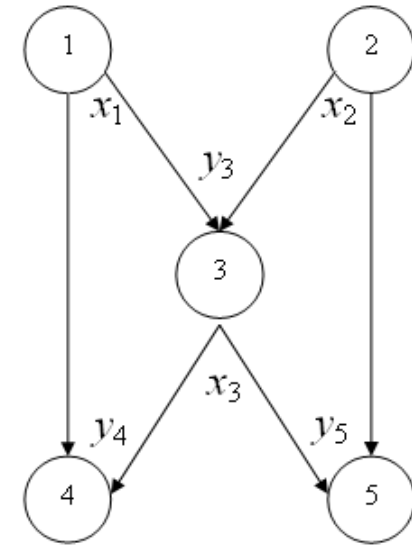
$$R_1 + R_z < I(X_1, X_3; Y_4)$$

$$R_2 + R_z < I(X_2, X_3; Y_5)$$

# Bit-by-bit Network Coding

- $z_j$  is not independent of  $w_{1,j}$  and  $w_{2,j}$ .
- The codebook of  $\mathbf{x}_3$  is generated through distribution

$$\prod_{i=1}^n p(x_{3,i} | x_{1,i}(w_1), x_{2,i}(w_2)).$$



$$R_1 < I(X_1; Y_4 | X_3)$$

$$R_2 < I(X_2; Y_5 | X_3)$$

$$R_z < I(X_3; Y_4 | X_1)$$

$$R_z < I(X_3; Y_5 | X_2)$$

$$R_1 + R_z < I(X_1, X_3; Y_4)$$

$$R_2 + R_z < I(X_2, X_3; Y_5)$$

# Achievable Rate Region with Bit-by-bit Network Coding

- The rate pair  $(R_1, R_2)$  satisfying

$$R_1 < \min\{I(X_1; Y_3 | X_2, X_3), I(X_1; Y_4)\}$$

$$R_2 < \min\{I(X_2; Y_3 | X_1, X_3), I(X_2; Y_5)\}$$

$$R_1 + R_2 < I(X_1, X_2; Y_3 | X_3)$$

are achievable for any distribution

$$p(x_1)p(x_2)p(x_3 | x_1, x_2),$$

subject to...

# Achievable Rate Region with Bit-by-bit Network Coding (cont.)

subject to

$$H(W_1 | Z) < I(X_1; Y_4 | X_3, Z)$$

$$H(Z | W_1) < I(X_3; Y_4 | X_1, W_1)$$

$$H(W_1, Z) < I(X_1, X_3; Y_4)$$

$$H(W_2 | Z) < I(X_2; Y_5 | X_3, Z)$$

$$H(Z | W_2) < I(X_3; Y_5 | X_2, W_2)$$

$$H(W_2, Z) < I(X_2, X_3; Y_5).$$

# Converse for the Butterfly Channel with Message-by-message Network Coding

Given any sequence of  $(2^{nR_1}, 2^{nR_2}, n)$  codes  
with  $P_e^{(n)} \rightarrow 0$ , the rates satisfy

$$R_1 \leq \min\{I(X_1; Y_3 | X_2, X_3, Q), I(X_1; Y_4 | X_3, Q), I(X_3; Y_5 | X_2, Q)\}$$

$$R_2 \leq \min\{I(X_2; Y_3 | X_1, X_3, Q), I(X_2; Y_5 | X_3, Q), I(X_3; Y_4 | X_1, Q)\}$$

$$R_1 + R_2 \leq \min\{I(X_1, X_2; Y_3 | X_3, Q), I(X_1, X_3; Y_4, Q), I(X_2, X_3; Y_5, Q)\}$$

for some choice of random variable  $Q$  and joint  
distribution  $p(x_1 | q)p(x_2 | q)p(x_3 | q)p(y_3, y_4, y_5 | x_1, x_2, x_3)$ .

# Outer Bounds on the Rate Region of the Butterfly Channel with Bit-by-bit Network Coding

$$R_1 \leq \min\{I(X_1; Y_3 | X_2, X_3), I(X_1; Y_4)\}$$

$$R_2 \leq \min\{I(X_2; Y_3 | X_1, X_3), I(X_2; Y_5)\}$$

$$R_1 + R_2 \leq I(X_1, X_2; Y_3 | X_3)$$

with

$$H(W_1 | Z) \leq I(X_1; Y_4 | X_3, Z^m, Q)$$

$$H(Z | W_1) \leq I(X_3; Y_4 | X_1, W_1^m, Q)$$

$$H(W_1, Z) \leq I(X_1, X_3; Y_4, Q)$$

$$H(W_2 | Z) \leq I(X_2; Y_5 | X_3, Z^m, Q)$$

$$H(Z | W_2) \leq I(X_3; Y_5 | X_2, W_2^m, Q)$$

$$H(W_2, Z) \leq I(X_2, X_3; Y_5, Q)$$

# Type II Butterfly Channel

- The capacity of the butterfly channel (Type II) is the closure of the convex hull of all  $(R_1, R_2)$  satisfying

$$R_1 < \min\{I(X_1; Y_3 | X_2, X_3), I(X_3; Y_4 | X_4),$$

$$I(X_1; Y_5 | X_4), I(X_4; Y_6 | X_2)\}$$

- $R_2 < \min\{I(X_2; Y_3 | X_1, X_3), I(X_3; Y_4 | X_4),$

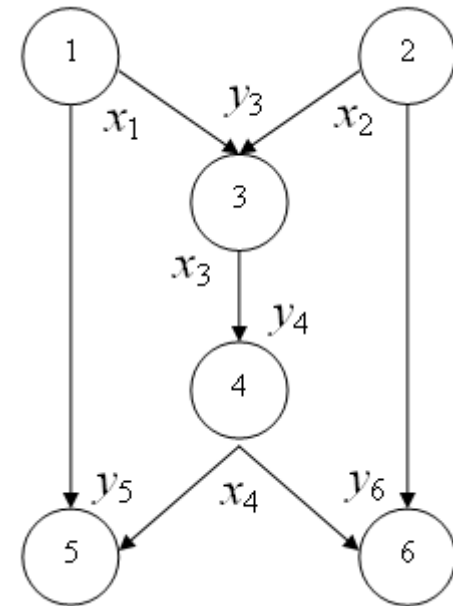
$$I(X_2; Y_6 | X_4), I(X_4; Y_5 | X_1)\}$$

$$R_1 + R_2 < \min\{I(X_1, X_2; Y_3 | X_3), I(X_1, X_4; Y_5),$$

$$I(X_2, X_4; Y_6)\}$$

for some product distribution

$$p(x_1)p(x_2)p(x_3 | x_1, x_2)p(x_4).$$



**Thank you!**